

Disclosure Notice in terms of the Financial Advisory and Intermediary Services (FAIS) General Code of Conduct 2003

Your Intermediary

Business Name : New Adventure Insurance Brokers (Pty) Ltd t/a Yambu
Registration number : 2000/023619/07
Physical address : Tuscany Office Park, Block 9, 6 Coombe Place, Rivonia, 2128
Postal address : PO Box 6984, Johannesburg, 2000
Telephone : 0860 88 88 37
Website : www.yambu.co.za
FAIS registration (FSP No) : 34750

In terms of the FSP license, New Adventure Insurance Brokers (Pty) Ltd t/a Yambu is authorised to give Intermediary Services and/or Advice for products under:

CATEGORY I:

Short-term Insurance: Personal Lines
Short-term Insurance: Commercial Lines

Without in any way limiting and subject to the other provisions of the Services Agreement/Mandate, Yambu accepts responsibility for the lawful actions of their representatives (as defined in the Financial Advisory and Intermediary Service Act) in rendering financial services within the course and scope of their employment. Some representatives may be rendering services under supervision and will inform you accordingly.

NAIB (Pty) Ltd t/a Yambu has a binder agreement with the Insurer.

NAIB (Pty) Ltd t/a Yambu has Professional Indemnity Cover and Fidelity Guarantee Cover in place.

Complaints contact details : 0860 88 88 37
: complaints@yambu.co.za

Compliance Officer : Askari Compliance Services
Contact person : Warren Neale
Email : warren@askaricompliance.com

Conflict of Interest Policy : You can access our Conflict of Interest Management Policy at: www.yambu.co.za

Your Insurer

Business Name : Guardrisk Insurance Company Limited
Registration number : 1992/001639/06
Physical address : 3rd Floor, 102 Rivonia Road, Sandown, Sandton 2196
Postal address : PO Box 786015, Sandton, 2146
Switchboard telephone : +27-11-669-1000
General email enquiries : info@guardrisk.co.za
Website : www.guardrisk.co.za
FAIS registration : FSP 75

New Adventure Insurance Brokers (Pty) Ltd t/a YAMBU

Directors: Q van Rooyen, FJ Abrahams, A Brand and MJ Matthee
Tel: 0860 88 88 37 | Fax: 086 552 0491 | Email: info@yambu.co.za | Website: www.yambu.co.za
Tuscany Office Park, Block 9, First Floor, 6 Coombe Place, Rivonia, 2128 | PO Box 6984, Johannesburg, 2000
Registration Number: 2000/023619/07 t/a Yambu (FSP number 34750), a registered financial services provider
& underwritten by Guardrisk Insurance Company Limited (FSP 75) & Constantia Life and Health Assurance Company Limited

In terms of the FSP license, Guardrisk Insurance Company Limited is authorised to give advice and render financial services for products under:

CATEGORY I:

Short-term Insurance: Personal Lines
Short-term Insurance: Commercial Lines
Short-term Insurance: Personal Lines A1

Guardrisk has Professional Indemnity Cover and Fidelity Guarantee Cover in place.

Your Intermediary and/or Underwriting Manager noted above should always be your first point of contact in the event that you have a query or complaint. Guardrisk is a Cell captive insurance company, we partner with other financial service providers to provide our customers with different insurance and risk solutions to suit their specific needs.

If you are dissatisfied with the feedback received from your Intermediary and/or your underwriting manager, or your complaint remains unresolved, feel free to contact the **Guardrisk Complaints Department:**

Telephone: 0860 333 361
Email: complaints@guardrisk.co.za

Guardrisk Compliance Details

Telephone: +27-11-669-1104
Fax Number: +27-11-675-3826
Email: compliance@guardrisk.co.za

Guardrisk Insurance Company Limited has a conflict of interest management policy in place and is available to clients on the website: www.guardrisk.co.za

Particulars of the Short Term Ombudsman

(For claims/service related matters)

Postal address: PO Box 32334, Braamfontein, 2017
Telephone: +27-11- 726- 8900
Fax number: +27-11- 726- 5501
Email: info@osti.co.za

Particulars of the Registrar of Short Term Insurance

(For market conduct matters)

Postal address: PO Box 35655, Menlo Park, 0102
Telephone: +27-12- 428-8000
Fax number: +27- 12- 347- 0221
Email: info@fsca.co.za

Particulars of FAIS Ombudsman

(For advice/policy related matters)

Postal Address: PO Box 74571, Lynnwood Ridge, 0040
Telephone: +27- 12- 470- 9080
Fax number: +27- 12- 348- 3447
Email: info@faisombud.co.za

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