

Disclosure Notice
Financial Advisory and Intermediary Services (FAIS) General Code of Conduct 2003

Your Intermediary

Business Name : New Adventure Insurance Brokers (Pty) Ltd t/a Yambu
Registration number : 2000/023619/07
Physical address : Tuscany Office Park, Block 9, 6 Coombe Place, Rivonia, 2128
Postal address : PO Box 6987, Johannesburg, 2000
Telephone : 0860 88 88 37
Website : www.yambu.co.za
FAIS registration (FSP No) : 34750

In terms of the FSP license, New Adventure Insurance Brokers (Pty) Ltd t/a Yambu is authorised to give Intermediary Services and/or Advice for products under:

CATEGORY I:

Long-term Insurance : Category A
Long-term Insurance : Category B1

Without in any way limiting and subject to the other provisions of the Services Agreement/Mandate, Yambu accepts responsibility for the lawful actions of their representatives (as defined in the Financial Advisory and Intermediary Service Act) in rendering financial services within the course and scope of their employment. Some representatives may be rendering services under supervision and will inform you accordingly.

NAIB (Pty) Ltd t/a Yambu has a binder agreement with the Insurer.

NAIB (Pty) Ltd t/a Yambu has Professional Indemnity Cover and Fidelity Guarantee Cover in place.

Complaints contact details : 0860 88 88 37
: complaints@yambu.co.za

Compliance Officer : Askari Compliance Services
Contact person : Warren Neale
Email : warren@askaricompliance.com

Conflict of Interest Policy : You can access our Conflict of Interest Management Policy at: www.yambu.co.za

Your Insurer

Business Name : Constantia Life and Health Assurance Company Limited
Registration number : 1952/001635/06
Physical address : Unit 3 Tulbagh, 360 Oak Avenue, Randburg, 2191
Postal address : PO Box 3518, Cramerview, 2060
Switchboard telephone : +27 11 686 4200
General email enquiries : info@constantiagroup.co.za
Website : www.constantiagroup.co.za

In terms of the FSP license, Constantia Life and Health Assurance Company Limited is authorised to give advice and render financial services for products under:

CATEGORY I:

Long-term Insurance: Category A

Long-term Insurance: Category B1

Constantia Life and Health Assurance Company Limited has Professional Indemnity Cover and Fidelity Guarantee Cover in place.

Your Intermediary and/or Underwriting Manager noted above should always be your first point of contact in the event that you have a query or complaint. Constantia Life and Health Assurance Company Limited is a cell captive insurance company, we partner with other financial service providers to provide our customers with different insurance and risk solutions to suit their specific needs.

If you are dissatisfied with the feedback received from your Intermediary and/or your underwriting manager, or your complaint remains unresolved, feel free to contact the

Constantia Complaints Department

Telephone: +27-21-424-8040

Email: complaints@constantigroup.co.za

Constantia Compliance Details

Telephone: +27-11-686-4200

Fax Number: +27-11-789-8828

Email: ChristieneB@constantigroup.co.za

Constantia Life and Health Assurance Company Limited has a Conflict of Interest Management Policy in place and it is available to clients on the website: www.constantigroup.co.za

Particulars of the Long Term Ombudsman

(For claims/service related matters)

Postal address: Private Bag X45, Claremont, Cape Town, 7700

Telephone: +27-21-657-5000

Fax number: +27-21-674-0951

Email: info@ombud.co.za

Particulars of the Registrar of Long Term Insurance

(For market conduct matters)

Postal address: PO Box 35655, Menlo Park, 0102

Telephone: +27-12-428-8000

Fax number: +27-12-346-6941

Email: info@fsca.co.za

Particulars of FAIS Ombudsman

(For advice/policy related matters)

Postal Address: PO Box 74571, Lynnwood Ridge, 0040

Telephone: +27- 12-470-9080

Fax number: +27-12-348-3447

Email: info@faisombud.co.za

New Adventure Insurance Brokers (Pty) Ltd t/a YAMBU

Directors: Q van Rooyen, FJ Abrahams, A Brand and MJ Matthee

Tel: 0860 88 88 37 | Fax: 086 552 0491 | Email: info@yambu.co.za | Website: www.yambu.co.za

Tuscany Office Park, Block 9, First Floor, 6 Coombe Place, Rivonia, 2128 | PO Box 6984, Johannesburg, 2000
Registration Number: 2000/023619/07) t/a Yambu (FSP number 34750), a registered financial services provider
& underwritten by Constantia Life and Health Assurance Company Limited